# Kavita Surana, Ph.D.

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Areas of Expertise: science, technology, and innovation policy | energy policy | sustainable development

Academic and Research Experience	
2017 –	University of Maryland, College Park MD, USA School of Public Policy Assistant Research Professor, Center for Clobal Systemability (2019)
	Assistant Research Professor, Center for Global Sustainability (2019-) Assistant Research Scholar, Center for Global Sustainability (2017-18)
2013 – 2017	Harvard University, Cambridge MA, USA Kennedy School – Belfer Center for Science and International Affairs Research Associate, Environment and Natural Resources (2016-17) Postdoctoral Fellow and Associate, Science Technology and Public Policy (2013-16)
2008 – 2011	Alternative Energies & Atomic Energies Commission (CEA), Grenoble, France LETI-Minatec (Governmental research laboratory; Micro/Nano-electronics Campus) R&D Engineer, Power and Photovoltaic Devices Group
2008	TU Delft, Netherlands Delft ChemTech Junior Researcher, Opto-electronics Group
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Professional Experience	
2015 – 2018	The World Bank, Washington DC, USA Finance, Competitiveness, and Innovation Global Practice Short-term Consultant (projects on local innovation systems in transition economies) Energy and Extractives Global Practice Short-term Consultant (project on competitive solar PV prices in developing countries) Climate Change Cross-cutting Solutions Area Short-term Consultant (projects on capacity building for climate change)
2016 – 2017	Tambourine Innovation Ventures, Vienna VA, USA Independent Consultant (project on Asian market opportunities for US cleantech startups)
2012 – 2013	ICF International, New Delhi, India Energy Advisory and Solutions Associate, Wholesale Power Markets Team
2005, 2007	The Energy and Resources Institute (TERI), New Delhi, India Renewable Energy Technology Applications Summer Intern (projects on off-grid and grid-connected solar photovoltaic deployment)
Education	
Ph.D.	Institut Polytechnique de Grenoble (INP-Grenoble), Grenoble, France Ph.D. in Materials Science & Engineering, September 2011
M.S.	University of Toulouse – Paul Sabatier, Toulouse, France M.S. in Energy Storage and Conversion, August 2008
B.Sc.	<b>Delhi University, St. Stephen's College</b> , Delhi, India B.Sc. (Honours) in Physics, July 2006

### National Science Foundation - Science of Science and Innovation Policy (NSF - SciSIP) (2018-2020)

Grant funding for project: "Co-location of manufacturing and innovation: drivers and impacts of technological innovation along the wind energy global value chain" (Principal Investigator)

## Energy Futures Initiative (2018-2019)

Grant funding for project: "Regional factors in development and deployment of innovative low carbon technologies" (Co-Principal Investigator)

# Belfer Center for Science and International Affairs, Harvard Kennedy School (2016-2017)

Postdoctoral fellowship in the Environment and Natural Resources Program

#### Belfer Center for Science and International Affairs, Harvard Kennedy School (2013-2016)

Postdoctoral fellowship (funded) in the Science, Technology, and Public Policy (STPP) program

# Direction Générale de l'Armement (French Defense Procurement Agency) – DGA (2008-2011)

Merit-based doctoral funding for developing strategic technologies for national security

# French National Institute for Nuclear Science and Technology – INSTN (2008-2011)

Merit-based funding for 'Technodoct' program (PhD program in technology and innovation management)

# European Commission - Erasmus Mundus (2006-2008)

Merit-based full-tuition scholarship for 6-month rotational semesters in 4 EU countries: University of Toulouse, France; TU Warsaw, Poland; University of Cordoba, Spain; TU Delft, Netherlands

#### Research, Publications, and Patents

#### Selected work in progress

Co-location of manufacturing and innovation along the wind energy global value chain with Claudia Doblinger, Laura Diaz Anadon, Nathan Hultman

Regional energy innovation ecosystems in the United States: a detailed assessment of state-wise energy innovation investment drivers, outcomes, and opportunities

with Ellen Williams

### Peer-reviewed journal papers

S&T-based entrepreneurship for sustainable development goals: public policy for incubators in India Surana K., Singh A., Sagar A.D.

Technological Forecasting and Social Change (planned submission). March 2019

Governments as Partners: The Role of Collaboration in U.S. Cleantech Startup Innovation

Doblinger C., Surana K., Anadon L.D.

Research Policy (accepted with minor revisions). January 2019

The climate mitigation opportunity in global power transmission and distribution

Surana K., Jordaan S.M.

Nature Climate Change (under review). November 2018

The Price of Solar Energy: Comparing Competitive Auctions for Utility-Scale Solar in Developing Countries Dobrotkova Z., **Surana K.**, Audinet P.

Energy Policy 118:133-148, July 2018

Public Policy and Financial Resource Mobilization for Wind Energy in Developing Countries

Surana K., Anadon L.D.

Global Environmental Change 35:340-359, November 2015

Toward a 1.54 µm Electrically Driven Erbium-Doped Silicon Slot Waveguide and Optical Amplifier

Tengattini, A., Gandolfi, D., Prtljaga, N., Anopchenko, A., Ramírez, J. M., Lupi, F. F., Berencén, Y., Navarro-Urrios, D., Rivallin, P., **Surana, K.**, Garrido, B., Fedeli, J.-M., and Pavesi, L.

Journal of Lightwave Technology 31: 391-397, February 2013

Film-thickness-dependent Conduction in Ordered Si Quantum Dot Arrays

Surana, K., Lepage, H., Lebrun, J. M., Doisneau, B., Bellet, D., Vandroux, L., Le Carval, G., Baudrit, M., Thony, P., and Mur, P.

Nanotechnology 23, No. 10, February 2012

#### Other publications

Romania Laser Valley: Development Scenarios

(contributor). Edited by Aprahamian, A.S.

Report. World Bank Group, Washington, D.C., September 2018.

Proposed New Capacity and Power Sector Decarbonization: Implications of Global Coal Power Development for the Paris Agreement

Cui Y., Hultman N., McJeon H., He L., Sen A., Surana K., Tan X., Kennedy S.

Technical Report. Center for Global Sustainability, University of Maryland, April 2018

Enhancing S&T-based Entrepreneurship: The Role of Incubators and Public Policy

Surana K., Singh A., Sagar A.D.

Report. Department of Science and Technology, Government of India, June 2017

Technology Innovation and Energy

Surana K., Chikkatur A.P., Sagar A.D.

Book Chapter. Reference Module in Earth Systems and Environmental Sciences, Elsevier, 2014

Opto-electrical characterization of erbium-doped slot waveguides

Tengattini, A., Gandolfi, D., Marconi, A., Anopchenko, O., Prtljaga, N., Ramirez, J. M., Ferrarese Lupi, F., Berencen, Y., Navarro Urrios, D., Garrido, B., Fedeli, J.-M., Rivallin, P., **Surana, K.**, and Pavesi, L.

Conference Paper. Silicon Photonics and Photonic Integrated Circuits III (SPIE), 843118-843118-8, May 2012

Towards silicon quantum dot solar cells: comparing morphological properties and conduction phenomena in Si quantum dot single layers and multilayers.

Surana, K.

PhD Dissertation. Institut polytechnique de Grenoble, France. September 2011

Towards silicon nanocrystals based solar cells: Morphological properties and conduction phenomena

Surana, K., Lepage, H., Bellet, D., Le Carval, G., Baudrit, M., Thony, P., and Mur, P.

Conference Paper. IEEE Photovoltaic Specialists Conference (PVSC), June 2010

Enhanced Conduction in Si Quantum Dot Superlattice in SiO2 Matrix

Surana, K., Lebrun, J. M., Lepage, H., Doisneau, B., Bellet, D., Le Carval, G., Thony, P., and Mur, P.

Conference Paper. European Photovoltaic Solar Energy Conference and Exhibition (EU-PVSEC), September 2010

Implementation and Scope of a Pioneering Grid-Interactive Rooftop PV Program in India

Surana K., Mohanty P., Chaurey A.

White Paper. The Energy and Resources Institute (TERI), New Delhi, India, August 2007

Indian Rural Electrification with Off-Grid Photovoltaic Deployment

Surana K., Mohanty P., Chaurey A.

White Paper. The Energy and Resources Institute (TERI), New Delhi, India, June 2005

#### **Patents**

Method of Manufacturing an Optical Reflector with Semiconductor Nanocrystals

Surana K., Baudrit M., Mur P., Thony P.

Patent number US8815629B2, 2014

Method for the Characterisation of at Least One Layer of Material Comprising Semiconductor Nanocrystals Baudrit M., Mur P., **Surana K.**, Thony P.

Patent number FR 2979431 B1, 2014; Patent number WO 2013/026861 A1, 2013

#### **Selected Presentations**

Non-financial returns on investment in energy innovation

US Department of Energy - Solar Energy Technologies Office. Washington DC. USA, 2019

Wind energy technology innovation in the manufacturing global value chain: exploring the impact of internationalization of component suppliers on innovation

Association of Public Policy Analysis and Management Fall Conference, Washington DC. USA, 2018

Regional Factors in Development and Deployment of Innovative Low Carbon Technologies Energy Futures Initiative, Washington DC. USA, 2018

Energy Innovation Policy: Beyond and Between Academia and Industry 2018 Engineering Sustainability Day – Innovation and Industry. *University of Maryland College Park*. USA, 2018

Co-location of Manufacturing and Innovation in the Wind Energy Global Value Chain Center for Global Sustainability. *University of Maryland College Park*. USA, 2018

Governments as Partners: The Role of Collaboration in Cleantech Startup Innovation Global Sustainability Forum. *University of Maryland College Park*. USA, 2017

Enhancing S&T-based Entrepreneurship: The Role of Incubators and Public Policy Workshop on Publicly Funded Incubators. *Indian Institute of Technology Delhi*. India, 2016

Public Policy and the Adoption of Renewable Energy Technologies: Wind Energy in China and India *Globelics International Conference*. Addis Ababa, Ethiopia, 2014

The Development of Wind Energy Innovation Systems in China and India Science, Technology, and Public Policy Program, Belfer Center for Science and International Affairs, *Harvard University*. Cambridge MA, USA, 2014

Wind Energy in India – Financing Challenges and Risk Energy for Development, *Massachusetts Institute of Technology* (MIT). Cambridge MA, USA, 2014

Ordered Si Quantum Dot Superlattices for Solar Cells

European Materials Research Society (E-MRS) Spring Meeting. Nice, France, 2011

Towards Silicon Nanocrystals Based Solar Cells: Morphological properties and conduction phenomena 35th IEEE Photovoltaic Specialists Conference (PVSC), Honolulu, Hawaii, 2010

## Participation in Workshops, Seminars, and Conferences

# Participant, Center for Strategic and International Studies

Energy as a Source of Economic Growth and Social Mobility Washington DC, USA. December 2018

Participant, The Brookings Institution, Cross-Brookings Initiative on Energy and Climate

Roundtable on Chinese Clean Energy Investments Washington DC, USA. December 2018

## Discussant, Association for Public Policy Analysis and Management Fall Research Conference

Energy Infrastructure for the Energy Transition: A Growing Need, Increasing Resistance, and Planning Complications Washington DC, USA. November 2018

### Participant, University of Maryland, USA

Workshop on Accelerating the Development and Deployment of Climate Mitigation Technologies College Park, MD, USA. June 2018

#### Discussant, Association for Public Policy Analysis and Management Regional Student Conference

Using Technology to Inform Policy (panel with graduate researchers)

Washington DC, USA. April 2018

### Moderator, Global Sustainability Forum, University of Maryland

Climate Change Risk and the Maryland State Retirement and Pension System

College Park, MD, USA. October 2017

# Participant, The Brookings Institution, Cross-Brookings Initiative on Energy and Climate

Natural Gas Task Force Meeting

Washington DC, USA. November 2016

# Co-organizer, The World Bank and Korea Environmental Industry & Technology Institute

Climate and Environment Capacity Building Workshop

Seoul, South Korea. June 2016

### Discussant, Globelics International Conference

Innovation, Sustainable Development and Energy in the South

Addis Ababa, Ethiopia. October 2014

# Teaching

#### Associate Instructor (Adjunct Professor), University of Maryland

Intersections of Technology and Policy in Modernizing the Energy System with Prof. Ellen Williams

Graduate-level class with ~20 students from science & engineering (60%) and public policy (40%)

Fall 2018

Fall 2017

# Instructor, The World Bank and Coursera (Massive Open Online Course – MOOC)

Turn Down the Heat - From Climate Science to Action

Multi-level class (undergraduate, graduate, experienced, general audience) with  $\sim 4000$  students

Spring 2016

#### Teaching Fellow, Harvard University

Introduction to Technology and Society with Prof. Venkatesh Narayanamurti

Undergraduate-level class with ~30 students from social sciences (70%) and science & engineering (30%)

Spring 2015

Spring 2014

#### **Professional Service**

**Reviewer**: Energy Policy, Energy Strategy Reviews, Energy Research and Social Science, Review of International Political Economy, Globelics

Coordinator of research seminars at Center for Global Sustainability, School of Public Policy

University of Maryland, USA

Mentor and advisor for graduate and undergraduate students in physics, engineering, and public policy University of Maryland, USA

Mentor for graduate interns

Alternative Energies & Atomic Energies Commission, France

# Mentor, Higher Achievement

Middle-school math mentor for public school students in low-income neighborhoods Washington DC, USA. 2016 – 2018

### Panelist, Harvard University Office of Career Services (OCS), Harvard University

Translating Science Research for Policy (career mentoring event)

Cambridge MA, USA. March 2014

# Skills

# Languages

English (native)

Hindi (native)

French (fluent)

German (basic)

Spanish (basic)

## Software

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Gephi

Advanced Excel, Word, Powerpoint.

# Energy and innovation tools

Databases: i3 Cleantech, BNEF, IHS, IEA/OECD, SNL, Derwent World Patents Index, The Lens Techno-economic modeling: Integrated Planning Model (IPM), wholesale power markets, asset valuations